

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Lee C. HAROLD, *et al.*

Serial Number: 09/321,743

Filed: May 28, 1999



Group Art Unit: 2777

Examiner: Not Assigned

For: SYSTEM AND METHOD FOR NETWORK USER INTERFACE OLAP REPORT  
FORMATTING

**SUBMISSION OF EXECUTED DECLARATION  
NOT ACCOMPANYING ORIGINALLY FILED APPLICATION,  
PAYMENT OF BASIC FILING FEE, AND  
REQUEST FOR CORRECTED FILING RECEIPT**

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the attached U.S. Patent and Trademark Office "Notice to File Missing Parts of Application" mailed July 1, 1999, Applicants are submitting herewith the executed Declaration for filing in the cited patent application. Accordingly, it is respectfully requested that a filing date of May 28, 1999 be granted to this application. This is the date on which the specification and drawings were received by the U.S. Patent and Trademark Office ("PTO") mailroom.

The filing fee is calculated as follows:

Basic Fee	\$ 760.00
Total Claims = 23	\$ 54.00
Independent Claims = 3	\$ 0.00
Submission of Declaration	\$ 130.00
Submission of Assignment and Recordation Sheet	\$ <u>40.00</u>
 TOTAL AMOUNT ENCLOSED	 \$ 984.00

Our check in the amount of \$984.00 is attached to cover the basic filing fee and three additional claims, the fee for filing a Declaration not accompanying the originally filed application, and the fee for filing an Assignment and Recordation Cover Sheet. In the event any variance exists between the amount enclosed and the PTO charges, please charge or credit any difference to Deposit Account No. 50-0206.

**Request for Corrected Filing Receipt**

Additionally, one inventor's address is incorrect on the Filing Receipt. The correct address is reflected on the executed Joint Declaration and the corrected Filing Receipt attached. A corrected Official Filing Receipt is respectfully requested.

Respectfully submitted,

HUNTON & WILLIAMS

By:

Brian M Buroker  
Brian M. Buroker  
Registration No. 39,125

Hunton & Williams  
1900 K Street, N.W., Suite 1200  
Washington, D.C. 20006-1109  
(202) 955-1500 (Telephone)  
(202) 778-2201 (Facsimile)

Dated: August 3, 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of :

Lee C. HAROLD, *et al.*

Serial No.: 09/321,743

Filed: May 28, 1999



Group Art Unit: 2777

Examiner: Not Assigned

For: SYSTEM AND METHOD FOR NETWORK USER INTERFACE OLAP REPORT  
FORMATTING

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are enclosed for consideration in the above-identified application:

	FEE
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts	\$130.00
<input type="checkbox"/> Response to Notice of Incomplete Application	\$
<input checked="" type="checkbox"/> Declaration: <input checked="" type="checkbox"/> Original; <input type="checkbox"/> Supplemental	\$
<input type="checkbox"/> Submission of Formal Drawings	\$
<input type="checkbox"/> Formal Drawings:      Sheets      Figures	\$
<input type="checkbox"/> Information Disclosure Statement and Form 1449 and      References	\$
<input type="checkbox"/> Amendment: <input type="checkbox"/> Preliminary; <input type="checkbox"/> § 116; <input type="checkbox"/> § 312; <input type="checkbox"/> Other	\$0
<input type="checkbox"/> Request for Extension of Time for      month(s)	\$
<input checked="" type="checkbox"/> Issue Fee: <input checked="" type="checkbox"/> Part B - Issue Fee Transmittal <input type="checkbox"/> Part C - Charge to Deposit Account	\$760.00
<input type="checkbox"/> Notice of Appeal	\$
<input type="checkbox"/> Appeal Brief	\$
<input type="checkbox"/> Request for Oral Hearing	\$
<input type="checkbox"/> Reply Brief	\$
<input checked="" type="checkbox"/> Other: Assignment and Recordation Cover Sheet	\$ 40.00
<input type="checkbox"/> No additional claim fee is required	\$
<input checked="" type="checkbox"/> An additional claim fee is required, and is calculated as shown below	\$ 54.00
<b>TOTAL FEES BEING SUBMITTED</b>	<b>\$984.00</b>

	Claims Remaining	Claims Paid For	Extra	Rate	Fee
Total Claims	23	20	3	x \$18.00	\$54.00
Independent Claims	3	3	0	x \$39.00	\$0
Multiple Dependent Claims (if applicable)				\$	\$0
<b>TOTAL EXCESS CLAIMS FEE</b>					<b>\$54.00</b>
SMALL ENTITY TOTAL (if applicable)					\$0


Hunton & Williams check number 2035819 is enclosed in payment of the government fees.

The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and § 1.17 associated with this communication or credit any overpayment to the deposit account of Hunton & Williams, Deposit Account Number 50-0206.

**A duplicate copy of this sheet is enclosed.**

Dated: August 3, 1999

Respectfully submitted,



Brian M. Buroker  
Registration No. 39,125

HUNTON & WILLIAMS  
1900 K Street, N.W.  
Washington, D.C. 20006-1109  
Telephone: (202) 955-1500  
Facsimile: (202) 778-2201



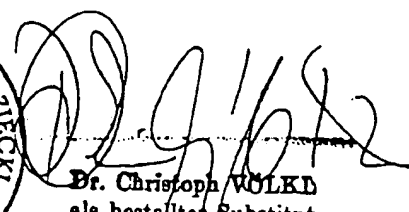
BRZ. 366/1999

Ich bestätige die Echtheit der Unterschriften des Herrn **Herbert LIEBL**, geboren am 14.12.1968 (vierzehnten Dezember neunzehnhundertachtundsechzig), Software-Consulting, 1020 Wien, Untere Augartenstraße 19/8. -----

Bemerkt wird, daß die durchgehende Richtigstellung der Adresse des Herrn Herbert LIEBL, und zwar: "Untere Augartenstraße 19/8, 1020 Wien" auf dieser Urkunde bei Unterfertigung durch die Partei selbst erfolgte. -----

W i e n, am 28.7.1999 (achtundzwanzigsten Juli neunzehnhundertneunundneunzig). -----



  
Dr. Christoph VOLKE  
als bestellter Substitut  
der vakanten Notarstelle  
in Wien Innere Stadt IX

Best Available Copy

**CORRECTED**  
FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/321,743	05/28/99	2777	\$0.00	53470.000012	7	23	3

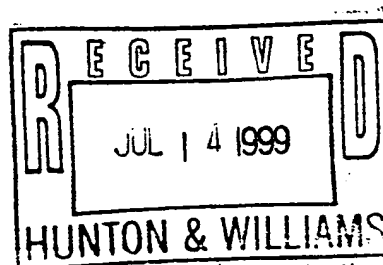
HUNTON & WILLIAMS  
1900 K STREET NW  
SUITE 1200  
WASHINGTON DC 20006-1109



Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) LEE C. HAROLD, ARLINGTON, VA; QING ZHU, HERNDON, VA;  
HERBERT LIEBL, ~~FALLS CHURCH, VA.~~  
WIEN, AUSTRIA

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 06/30/99  
TITLE  
SYSTEM AND METHOD FOR NETWORK USER INTERFACE OLAP REPORT FORMATTING  
PRELIMINARY CLASS: 707



DATA ENTRY BY: BATES, DIANE

TEAM: 03 DATE: 06/30/99

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100

(See reverse for new important information)



## UNITED STATES DEPARTMENT OF COMMERCE

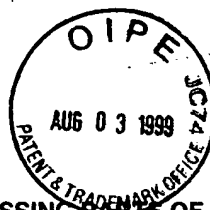
## Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/321,743	05/28/99	HAROLD	L 53470.000012

HUNTON & WILLIAMS  
1900 K STREET NW  
SUITE 1200  
WASHINGTON DC 20006-1109

0232/0701



NOT ASSIGNED

1-1-99  
1-1-2000  
2777

DATE MAILED:

07/01/99

NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 944

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 54 for 3 total claims over 20.

\$        for        independent claims over 3.

\$        for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.21, unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

*D. Rates*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202